

Financial Scrutiny and Audit Committee

AGENDA

27 September 2016

2:00pm

	Page
1. To receive apologies for absence	
2. Appointment of Chair	
3. Appointment of Vice Chair	
4. To note whether any items have been proposed as matters of urgent business	
5. To receive declarations of interest	
6. To receive and confirm the minutes of the Financial Scrutiny and Audit Committee meetings held on 5 July 2016 (herewith)	3 – 11
7. To note the Terms of Reference of the Financial Scrutiny and Audit Committee (herewith)	12 – 13
8. Public Question Time To note whether any questions have been raised by members of the public	
AUDIT	
9. Annual Audit Results Report by Head of Finance (herewith)	14 – 39
10. External Audit Committee Briefing Report by Head of Finance (herewith)	40 – 52
11. Implementation of Internal Audit Recommendations – Summary of Progress Report by Head of Finance (herewith)	53 – 59
12. Appointment of External Auditors Report by Head of Internal Audit (Internal Audit Consortium Manager) (herewith)	60 – 68

FINANCIAL SCRUTINY

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| 13. | Consolidated Income and Expenditure – 1 April to 31 July 2016 Actual and 2016/17 Forecast Outturn
Report by Head of Finance (herewith) | 69 – 80 |
| 14. | Preparation for the 2017/18 Budget
Report by Chief Executive and Head of Finance (herewith) | 81 – 83 |

RISK MANAGEMENT

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| 15. | Risk Register
Report by Chief Executive (herewith) | 84 – 85 |
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OTHER MATTERS

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| 16. | Review of Code of Conduct for Members
Report by Solicitor and Monitoring Officer (herewith) | 86 – 111 |
| 17. | Financial Regulations
Report by Head of Finance (herewith) | 112 – 140 |
| 18. | To consider any other items of business which the Chairman decides should be considered as a matter of urgency pursuant to Section 100B (4) (b) of the Local Government Act 1972 | |
| 19. | To answer any formal questions of which due notice has been given | |
| 20. | To note the date of the next meeting – Tuesday 7 February 2017 at 2.00pm at Yare House, 62-64 Thorpe Road, Norwich | |
| 21. | Exclusion of the Public
The Committee is asked to consider excluding the public from the meeting under section 100A of the Local Government Act 1972 for consideration of the item below on the grounds that it involves the likely disclosure of exempt information as defined by Paragraphs 1 and 2 of Part 1 of Schedule 12A to the Act as amended, and that the public interest in maintaining the exemption outweighs the public benefit in disclosing the information | |
| 22. | Appointment of Chief Finance Officer Section 17 of the Norfolk and Suffolk Broads Act 1988
Report by Chief Executive. | 141 - 146 |